

Open Access @ ASU

Why are we doing this?

- ***Policy is statement of principle from the faculty***
- ***ASU's mission***
- ***Works that are Open Access are downloaded and cited much more frequently than others.***
- ***Some granting agencies only fund work at universities with a clear OA policy.***

Stakeholders

- ***ABOR***
- ***OKED***
- ***ASU Library***
- ***ASU Faculty***
- ***Funding agencies***

Section 1: encouragement for OA

- ***Faculty at ASU are encouraged, but not obligated, to grant to the university a license to make their work freely available.***
- ***Depositing works in the ASU Digital Repository archives the works and allows for a full picture of ASU research and productivity.***
- ***Archiving work in multiple repositories ensures continued access regardless of federal funding or support.***
- ***The license is non-exclusive, so other licenses may also be granted to publishers, etc.***
- ***The license is non-commercial, so ASU may not profit from it.***

Section 2: grant recipients

- ***If a Faculty member accepts a grant that requires Open Access publication, then a license for any scholarly works resulting from that grant only is automatically given to ASU.***
 - ***This only affects Faculty who receive funding from granting agencies that require Open Access; e.g., the Gates Foundation, NSF, NIH.***
 - ***The license only covers work resulting from the grant.***
 - ***One less thing for the PI to worry about, allowing ASU to help fulfill the grant requirements by depositing in the appropriate repository on the PI's behalf.***

Section 3: Copyright

- ***Copyright is not transferred to ASU; the author retains all copyright until they assign it elsewhere. Think Sparky: ASU licenses various companies to print Sparky on t-shirts and other items, but ASU retains the copyright. A company can't print Sparky in different colors or for a non-ASU client.***
- ***Faculty deposit the final, accepted manuscript, after peer-review in the repository, with a link to the journal's site. The journal's formatted article remains the copy of record.***

Section 4: what is affected

- ***Only scholarly works authored or co-authored after the policy is implemented;***
 - ***Required: any scholarly publications that are the result of sponsored research where the funder has an open access requirement;***
 - ***Optional: any scholarly work related to your work at ASU, as you choose;***
- ***Co-authored articles are covered by the policy and may need to be coordinated with the various authors.***

Section 5: Other actors

- ***Working with other university partners, the ASU Library will develop a simple method for including works in the repository;***
- ***OKED will verify compliance, if appropriate per Section 2;***
- ***The Provost's Office will interpret the policy and will create hortatory measures to encourage participation in Open Access.***

Section Six: review and revision

- ***The Senate will review the policy in at least 3 years and make changes as necessary. We will at that point have more data on participation, barriers to participation, etc.***