Section I

Name of Committee: Research and Creative Activities Committee (RCAC)

Submitted by: Michael Todd

Date Submitted: 22nd April 2022

Membership Roster:

- Michael Todd (Chair), Juliet Hart Barnett, Steve Helms Tillery, Rebecca Lee, Shirly Montero Quesada, Barry Moon, Christopher Rojas
- Ex officio membership: Matthew Hulver, Penny Ann Dolin

Overview Narrative:

The RCAC worked toward implementing on processes developed in addressing RFC-147 pertaining to a pilot Open Access Publishing Fund.

In addressing RFC-147, which was closed in AY 2020-2021, the RCAC developed a process to award funds to reimburse eligible ASU scholars and investigators who publish their work under an open access publication model. The primary purpose of this fund is to assist ASU scholars and investigators who do not have access to other funds (e.g., external sponsored funding, RID/IIA, start-up funds) that might be used to cover article processing charges (APCs) associated with open access publication. The pilot project approved and funded by the Provost's Office and Knowledge Enterprise and to be administered by the ASU Library provides up to $30,000 per fiscal year to reimburse up to $1,500 in APCs per applicant. Though eligibility criteria for applicants and journals were crafted by the committee in AY 2020-2021, the pilot could not be implemented until this year.

Implementation of the pilot has involved transferring funds from the Provost's Office and Knowledge Enterprise to the ASU Library, making eligibility criteria and application materials available on the Knowledge Enterprise website ("Share your scholarship" at https://research.asu.edu/researcher-support), and coordinating with ASU Library staff to develop a process that is highly accessible to ASU scholars and investigators while also being feasible for ASU Library and the RCAC to manage on a day-to-day basis. Though the mechanisms and processes for making funds available are in place, work continues to ensure that the availability of the earmarked funds is communicated widely to ASU stakeholders.

Section II

Request for Consultations and/or topics reviewed by the committee and outcomes (topics reviewed by the committee decided not to act/review should be listed here with, no action taken):

No Request for Consultations were brought to the RCAC during the 2022-2023 academic year. The committee, however, was tasked with reviewing changes to portions of the RSP Manual (RSP 604) pertaining to intellectual property (IP) created by ASU research and academic personnel as part of their

professional duties at the University. Substantive policy changes and clarifications include apportionment of proceeds generated by the IP among the university, the committee is reviewing these changes and will provide feedback on them before the end of the academic year.

Section III

Request for Consultations and/or topics that were not started or remain unfinished and need to be carried over to the next academic year.

No new RFCs were opened this academic year and none were carried over from the previous year.

Section IV

Recommendations to the Senate or Final Comments

The committee recommends that the Senate along with the Provost's Office, Knowledge Enterprise, and the ASU Library continue to support and, indeed, expand their efforts to support open access publication and open science initiatives, with financial resources, accessible technology, and skilled staff who have expertise in navigating a dynamic policy environment and evolving norms that increasingly emphasize accessibility, transparency, and accountability to professional peers and other stakeholders.

Please type a summary in 100 words or less in this space. This paragraph will be cut and pasted directly into the Senate Annual Report.

The committee continued its work to address RFC-147 by facilitating implementation of a pilot program to fund ASU investigators' efforts to publish under an open access model. The 3-year pilot project, approved and funded by the Provost's Office and Knowledge Enterprise and administered by the ASU Library, provides up to $30,000 per fiscal year to reimburse up to $1,500 in article processing charges per applicant. The committee recommends expanded financial, technology, and staff support for these efforts in response to a dynamic policy environment and evolving norms that increasingly emphasize accessibility, transparency, and accountability in science and scholarship.