

Comparisons among Current ASU Policy, Proposed ASU Policy, and Policies at other ABOR Institutions

	ASU <u>Current</u> Policy	ASU <u>Proposed</u> Policy	U of A	NAU
University Share				
	15% Admin fee +	15% Admin fee +	15% Admin fee +	≤ 15% Admin fee +
	Costs incurred protecting/maintaining IP rights +	Costs incurred protecting/maintaining IP rights +	Costs incurred protecting/maintaining IP rights +	Costs incurred protecting/maintaining IP rights +
			Monies owed to university under agreements with third parties (e.g., sponsor, co-owner) +	
	<u>@ \$0-\$10K:</u> 33.33% of Net Income (income minus admin fee and unreimbursed costs) <u>@ > \$10K:</u> 33.33% of Net Income	<u>@ \$0-\$15M:</u> 33.33% of Net Income (income minus admin fee and unreimbursed costs) <u>@ > \$15M:</u> 53.33% of Net Income	<u>@ \$0-\$100K:</u> 20% of Net Income (income minus admin fee, unreimbursed costs, and monies owed) <u>@ > \$100K - \$500K:</u> 25% of Net Income <u>@ > \$500K:</u> 30% of Net Income	50% of Net Income (income minus admin fee and unreimbursed costs)
Creator share				
Faculty				
	<u>@ \$0-\$10K:</u> 50.00% of Net Income <u>@ > \$10K:</u> 1 creator: 40.00% 2 creators: 43.00% 3 creators: 46.00% 4 creators: 49.00% 5+ creators: 50.00%	<u>@ \$0-\$15M:</u> 45% of Net Income <u>@ > \$15M:</u> 25% of Net Income	<u>@ \$0-100K:</u> 50% of Net Income <u>@ > \$100K - \$500K:</u> 40% of Net Income <u>@ > \$500K:</u> 30% of Net Income	50% of Net Income
	Divided equally among creators	Divided equally among creators	Divided equally among creators	Divided equally among creators
	Entitlement to share of revenue continues after separation from institution	Entitlement to share of revenue continues after separation from institution		Entitlement to share of revenue continues after separation from institution
		Share is subject to reduction, contingent on creator's/creators' ownership interest in company licensing university IP		Share subject to reduction, contingent on creator's/creators' ownership interest and royalty rate in company licensing university IP

Student or Staff				
	Above allocation schedule and terms apply to all creators	Above allocation schedule and terms apply to all creators	Non-faculty creators "subject to standard rules" for all creators	≥ 25% of Net Income no provision for multiple creators
Lab Share				
	Creator's/creators' research/IP development account(s)	Creator's/creators' research/IP development account(s)	Creator discretionary account	None
	<p><u>@ \$0-\$10K:</u> 16.67% of Net Income*</p> <p><u>@ > \$10K:</u></p> <p>1 creator: 26.67%</p> <p>2 creators: 23.67%</p> <p>3 creators: 20.67%</p> <p>4 creators: 17.67%</p> <p>5+ creators: 16.67%</p> <p>*Capped at \$2M/year, with maximum year-to-year rollover of \$1.5M for unused funds. Amounts accrued over caps revert to university.</p>	<p><u>@ \$0-\$15M:</u> 21.67% of Net Income*</p> <p><u>@ > \$15M:</u> 21.67% of Net Income*</p> <p>*Capped at \$2M/year, with maximum year-to-year rollover of \$1.5M for unused funds. Amounts accrued over caps revert to university.</p>	<p><u>@ \$0-100K:</u> 30% of Net Income</p> <p><u>@ > \$100K - \$500K:</u> 25% of Net Income</p> <p><u>@ > \$500K:</u> 15% of Net Income</p>	
Unit Share				
	N/A	N/A	<p><u>@ \$0-100K:</u> 0% of Net Income</p> <p><u>@ > \$100K - \$500K:</u> 5% of Net Income to dept 5% of Net Income to college</p> <p><u>@ > \$500K:</u> 15% of Net Income to dept 10% of Net Income to college</p>	N/A