Open Access @ ASU
Why are we doing this?

- Policy is statement of principle from the faculty
- ASU’s mission
- Works that are Open Access are downloaded and cited much more frequently than others.
- Some granting agencies only fund work at universities with a clear OA policy.
Stakeholders

• ABOR
• OKED
• ASU Library
• ASU Faculty
• Funding agencies
Section 1: encouragement for OA

- Faculty at ASU are encouraged, but not obligated, to grant to the university a license to make their work freely available.
- Depositing works in the ASU Digital Repository archives the works and allows for a full picture of ASU research and productivity.
- Archiving work in multiple repositories ensures continued access regardless of federal funding or support.
- The license is non-exclusive, so other licenses may also be granted to publishers, etc.
- The license is non-commercial, so ASU may not profit from it.
Section 2: grant recipients

- If a Faculty member accepts a grant that requires Open Access publication, then a license for any scholarly works resulting from that grant only is automatically given to ASU.
  - This only affects Faculty who receive funding from granting agencies that require Open Access; e.g., the Gates Foundation, NSF, NIH.
  - The license only covers work resulting from the grant.
  - One less thing for the PI to worry about, allowing ASU to help fulfill the grant requirements by depositing in the appropriate repository on the PI’s behalf.
Section 3: Copyright

- Copyright is not transferred to ASU; the author retains all copyright until they assign it elsewhere. Think Sparky: ASU licenses various companies to print Sparky on t-shirts and other items, but ASU retains the copyright. A company can't print Sparky in different colors or for a non-ASU client.
- Faculty deposit the final, accepted manuscript, after peer-review in the repository, with a link to the journal's site. The journal's formatted article remains the copy of record.
Section 4: what is affected

• Only scholarly works authored or co-authored after the policy is implemented;
  • Required: any scholarly publications that are the result of sponsored research where the funder has an open access requirement;
  • Optional: any scholarly work related to your work at ASU, as you choose;

• Co-authored articles are covered by the policy and may need to be coordinated with the various authors.
Section 5: Other actors

• Working with other university partners, the ASU Library will develop a simple method for including works in the repository;
• OKED will verify compliance, if appropriate per Section 2;
• The Provost's Office will interpret the policy and will create hortatory measures to encourage participation in Open Access.
Section Six: review and revision

• The Senate will review the policy in at least 3 years and make changes as necessary. We will at that point have more data on participation, barriers to participation, etc.