

May 5, 2011

Dr. Anshuman Razdan



Chair, Research and Creative Activities Committee (2010 – 11)
Arizona State University

Dr. Gary Grossman

ASU Senate President (2010 – 11)

Re: RCA activities and report 2010-11

Dear Gary

It was an honor to be elected and serve as Chair and member of the RCA. The committee plays an important role in developing and guiding the development/modifications of research policies at ASU. The committee works closely in spirit of collaboration with OKED (Rick Shangraw, Sethuraman Panchanathan, Beth Israel and Tamara Deuser) in carrying out its mission and work. Ms. Shauna Robinette provided staff support for which the committee is extremely thankful and appreciative of.

For the current academic year the committee got a late start. We did not convene first working group until spring semester so there was a lot of catching up to do. Below are the details of the committee work for this session and items that are left open for next academic year. So as not to repeat the names of the members of the committee, they are listed under the two subcommittees. It is with great sincerity that I thank my fellow members for their valuable time, effort and insight into the matters of the policy. I will convene the first meeting in fall 2011 after conferring with the Senate President elect.

RCA FY 10/11 Meeting and Policy Year End Review

RCA must organize two subcommittees to deal with classified research and misconduct. No instances of Misconduct were brought to the Committee's attention and to the committee's knowledge the University has not accepted any grants or contracts for the conduct of classified research. Subcommittees were organized as follows:

Misconduct (requires 4)

1. Laurie Chassin
2. F. Lara-Valencia
3. C. Conrad
4. K. Tsakalis

Classified Research (requires 6)

1. A. Razdan
2. J. Comfort

3. M. Goul
4. P. Baldini
5. T. Moore
6. Avi Weizel

No policies were voted on.

The following policies were amended and voted on:

RSP 103.

Vote: In favor- 10
Opposed- 0

RSP 206- Voted on Survey Monkey

Vote: In favor - 10
Opposed - 0

RSP 503-02

Vote: In favor - 10
Opposed – 0

ORSP 504-04-

Vote: In favor – 10
Opposed – 0

RSP 506-03-

Vote: In favor- 10
Opposed – 0

RSP 001- This was passed by e-mail.

RSP 214-

Vote: In favor – 12
Opposed – 0

RSP 502-03-

Vote: In favor – 12
Opposed – 0

RSP 504-01-

Vote: In favor – 11
Opposed – 0
Abstained – 1 (Avi)

RSP 508-01-

Vote: In favor – 8
Opposed – 0

RSP 104-

Vote: In favor – 8
Opposed – 0

RSP 303-

Vote: In favor – 8

Opposed – 0

RSP 503-03-

Vote: In favor – 8

Opposed – 0

RSP 503-04- Committee views this as a redundant policy.

Vote to have this policy taken out: In favor – 7

Opposed – 1

After looking into having this policy taken out, OKED representative agreed and is having it taken out.

RSP 405- The approved policy was reported to Senate President for possible consideration by the senate

Vote: In favor – 7

Opposed – 1

RSP 502-01- Voted on e-mail, no concerns from committee.

Vote: In favor – 10

Opposed – 0

RSP 202-

Vote: In favor – 8

Opposed – 0

RSP 404- The approved policy was reported to Senate President for possible consideration by the senate.

Vote: In favor – 8

Opposed – 0

Major Issues: The committee felt while reviewing the following policies (106, 509-04) that a major revision was needed to bring them in line with ASU research in the 21st century. Some had not changed since 1987. The policies need to define PI and unit (department, college, center and institute as the case may be) and OKED roles and responsibilities and what happens when there is a disallowed or a mis-expenditure. OKED representatives have taken this seriously and while there was attempt to craft a language for the policy, the policies need to be vetted by the research deans. It is the committee's understanding that OKED would do that over summer and these policies will come up for draft and voting in early fall.

Policies that will be worked on over the summer by OKED:

RSP 402/605 and 606 (All to do with Intellectual Property) and whether they are needed since they are duplication of ACD policies in many instances.