I wish to convey my sincere appreciation to the senators, committee chairs, committee members, task forces and the Office of the University Senate for making 2016-2017 a successful year for shared governance at Arizona State University. Their work is summarized in this Annual Report, which illustrates how faculty members and academic professionals have shared in University governance during this academic year.

The Annual Report is organized in three main sections that describe the functioning of the University Senate: Governance Actions, Service Highlights, and Organizational Design and Representation. While much was accomplished this year, I would like to highlight motions passed by the Senate.

Senate Motion 2017-59 is a resolution supporting our international students and faculty that reiterates the language of the ASU charter, and clearly states that we oppose any policy that unfairly limits the ability of students or employees to travel freely to and from Arizona State University; limits the ability of students to pursue their academic interests and graduation requirements; or prohibits faculty members from exercising their academic freedom, no matter their country of origin or religion. This motion was unanimously approved.

Senate Motion 2017-46, approved by the University Senate and the administration, establishes an Open Access Policy for Scholarly Works. Faculty are encouraged to grant a non-exclusive irrevocable, worldwide, royalty-free license to Arizona State University to achieve the goals of open access, dissemination, and preservation, solely for educational and non-commercial purposes. The full policy is available on the University Senate website.

The Academic Constitution and Bylaws (ACD 112-01) are reviewed at four-year intervals with recommendations for appropriate changes forwarded to the Senate. Constitutional changes approved by the Senate and the Academic Assembly defined the primary role of Senators elected by their units as teaching and/or research. Other changes included chairs of the Senate standing committees as Ex Officio voting members of the Senate, and gave the Executive Committee the power to appoint vacated positions on the UAC if all other measures have been exhausted.

Bylaw changes approved by the Senate established procedures to ensure continuity of the Committees’ work from one year to the next; provided balanced distribution of workload representation from smaller campuses on standing committees, articulated a process for confirming campus affiliations of nominees for elected positions, and clarified the duration of elections. Further, to ensure diversity and engage new leadership for the Senate, a campus president who has completed a term as past president shall wait one year before running again for president-elect.

Committee work impacting shared governance is included in the Governance Actions section of this Annual Report. As always, a complete list of the Senate committee annual reports can be viewed on the University Senate web page.

It has been an honor and a privilege to serve as the University Senate President and Chair of the University Academic Council. Thank you for helping to make this an outstanding year!

Sincerely,

Kathy Puckett
University Senate President
The University Senate is the representative body of the ASU Academic Assembly, which consists of all tenured and tenure-eligible faculty, academic professionals, and full-time Non-Tenure Eligible (i.e. lecturers and senior lecturers, instructors, clinical faculty, research faculty, and professors of practice). The Senate is empowered by the Constitution (ACD112-01) to “act for the Academic Assembly in matters relating to: academic affairs, personnel policies, faculty-student policies, finances and university services and facilities.” The University Senate is the conduit in which faculty members and academic professionals share in the governance of Arizona State University.
Governance Actions

Review

Statistics

The University Senate serves as the final faculty review body for curricular changes and new course proposals made by colleges, schools and academic units. In addition to its curricular review responsibility, the Senate actively participates in the review and development of ASU policy as well as the development of resolutions that represent the ASU faculty and academic professional interest.

Each of the curricular items reviewed by the Senate has been previously vetted by a unit level curriculum committee, college/school curriculum committee and the office of the University Provost staff. At an institution as active and innovative as Arizona State University, it is extremely important that the Senate, and all faculty members and academic professionals maintain diligence in regards to the review of these important curricular items.

Additionally, this section highlights numerous examples of the policy work performed by the University Senate and each of our standing and university level committees.
AY 16-17 Formal Motions by Classification

- Establishment of new academic units: 1
- Transfer of undergraduate or graduate degree/certificate: 4
- Renames: 4
- Disestablishment of degree/certificate: 5
- Establishment of new graduate certificates: 5
- New undergraduate degrees established: 10
- New graduate degrees established: 11
- Establishment of new undergraduate certificates: 11

Total New Courses Reviewed by Senate: 311

ACD Policy Changes: 6
RSP Policy Changes: 51
Curricular: 1
New Course Motions: 8
Resolutions: 1

Review Statistics:

- Concentrations Disestablished: 9
- Concentrations Established: 31
- Minors Established: 8

*Items reviewed by CAPC that do not require a Senate review.
Governance Actions

22 New Program Proposals Reviewed by the Senate

Undergraduate New Degrees
BS Social Justice and Human Rights
BS Politics and the Economy
BS Pharmacology and Toxicology
BS Neuroscience
BS Integrative Social Science
BS Biotechnology and Bio Enterprise
BA History of Science, Ideas and Innovation
BA Fashion
BSE Environmental Engineering
BS Counseling and Applied Psychological Science
TOTAL = 10

Graduate New Degrees
PhD Law and Psychology
MS Integrative Social Science
MS Business Journalism
MS Biomechanics
Master Sustainable Tourism
MS Forensic Psychology
MALM Applied Leadership and Management
MA Narrative Studies
MA Global Security
PhD Sustainable Energy
MS Global Sustainability Science
TOTAL = 11

New Academic Unit
School of Civic and Economic Thought and Leadership
TOTAL = 1
Governance Actions

20 Formal and Consultative Highlights and Recommendations

Provided faculty representation for Dean searches for the College of Nursing and Health Innovation, the College of Liberal Arts and Sciences Humanities, the College of Health Solutions, and the New College of Interdisciplinary Arts and Sciences.

Requested improvements in course descriptions by recommending faculty members upload generic syllabi.

Hosted the Arizona Board of Regents for a breakfast discussion on undergraduate students and research.

Passed a resolution supporting international students and employees at Arizona State University.

Provided leadership to the tri-University Arizona Faculties Council at Arizona Board of Regents meetings.

Improved shared governance operations through constitution and bylaws revisions.

Requested proctoring of online math placement tests to better ensure appropriate student placement.

Discussed with senior leadership the preservation of academic freedom when accepting donations.

Reviewed ASU University committees and made recommendations for improvements.

Reviewed policies surrounding the faculty oversight of classified research proposals.

Sent faculty representation to five Arizona Board of Regents meetings.

Collaborated with Pac-12 universities as a member of the PAC 12 Academic Leadership Coalition.

To review the 2016-2017 academic year work performed by the University Senate committees, please go to our annual reports web page.

usenate.asu.edu
Communication Initiatives

When representing a group of individuals as large as the ASU Academic Assembly, it is important to develop clear and consistent communication. The Senate and the Senate office staff develop and sponsor several communication initiatives throughout the academic year.

Senator Spotlight

The Senator Spotlight program is designed to recognize senators who have demonstrated a commitment to the shared governance process and highlight the valuable service they are giving to the university.

Dashboard

The Dashboard is sent out to the Academic Assembly eight times per academic year and contains information designed to keep faculty members and academic professionals informed about University Senate business.

Academic Assembly Meeting and Reception

This biannual meeting/reception kicks off both the fall and spring semesters. The Academic Assembly reception is a great opportunity to hear from ASU President Crow, the University Senate president and network with fellow ASU faculty members.

Shared Governance

The Faculty Perspective

To anyone considering running for and/or accepting a nomination for a position in the senate: Please do it! It allows you to fully participate in faculty governance, and ensures that your voice is heard when it comes to important issues impacting our faculty and our university as whole. It helps with giving you a greater understanding of how the university works, and gives you opportunities to interact with other colleagues, as well as high level administrators and members of the Arizona Board of Regents.

-Kristin Hoffner, Senior Lecturer, School of Nutrition and Health Promotion

The Senate offers faculty and academic professionals the opportunity to have a say and be part of what happens at the University. I would encourage anyone who is interested to participate, especially if you have not been a part of the Senate before. Serving on the Senate presents opportunity for faculty and academic professionals to come together as a community to share ideas and opinions to influence the direction of the university.

-Chris Kyselka, Academic Professional, Polytechnic School
Breakfast with the Arizona Board of Regents

Eaeh year the University Senate hosts a breakfast with the members of the Arizona Board of Regents (ABOR). The purpose of this breakfast is to allow the ASU governance leaders an opportunity to interact with the ABOR members and provide them with direct feedback on current and future policy initiatives.

This year’s ABOR breakfast was a tremendous success. The Senate President invited faculty members to attend the breakfast so they could highlight their current research projects. Each faculty member illustrated for the Regents the connection between research and undergraduate student learning and success.

The Senate breakfast with the Regents continues to be an ideal opportunity to educate and inform our regent leaders on the inter-workings of faculty-life and interaction with students. The Senate takes this responsibility very seriously and appreciates the support of all faculty members who contribute towards this important task.

Shared Governance
The Faculty Perspective

...I have met colleagues from most colleges, every campus, and most disciplines. The most valuable thing that I learned is that we are all here to do our part for a better world. We teach, we do research, we support each other, we lead and mentor each other, and we have a shared interest in ensuring the success of our students. Before being part of the Senate I was not aware of the extent to which people across the university work together for the success of our students, each other, and the institution.

—Keith Hollinger, Lecturer, College of Integrative Sciences and Arts

I've learned how much my colleagues care about their university, not only as a place of learning, but also as a unique community, in which people from a wide range of backgrounds can come together to share knowledge, values, and ideas.

—David Martinez, Associate Professor, American Indian Studies

2016-17 Attendees

Arizona Board of Regents:

<table>
<thead>
<tr>
<th>NAME</th>
<th>TITLE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Greg Patterson</td>
<td>Chair</td>
</tr>
<tr>
<td>Vianney Canega</td>
<td>Student Regent</td>
</tr>
<tr>
<td>Mark Denke</td>
<td>Associate Vice President of Academic and Student Affairs</td>
</tr>
<tr>
<td>Jared Garshes</td>
<td>Student Regent</td>
</tr>
<tr>
<td>Jay Haier</td>
<td>Regent</td>
</tr>
<tr>
<td>Eileen Klein</td>
<td>President</td>
</tr>
<tr>
<td>Ram Krishna</td>
<td>Regent</td>
</tr>
<tr>
<td>Lyndel Manson</td>
<td>Regent</td>
</tr>
<tr>
<td>Shelley McGrath</td>
<td>Vice President, Academic and Student Affairs</td>
</tr>
<tr>
<td>Rick Myers</td>
<td>Regent</td>
</tr>
<tr>
<td>Larry Penley</td>
<td>Regent</td>
</tr>
<tr>
<td>Bill Redenour</td>
<td>Regent</td>
</tr>
<tr>
<td>Ron Shoopman</td>
<td>Regent</td>
</tr>
<tr>
<td>Nancy Tribbensee</td>
<td>Senior Vice President and General Counsel</td>
</tr>
</tbody>
</table>

Faculty Members:

<table>
<thead>
<tr>
<th>NAME</th>
<th>TITLE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kathleen Puckett*</td>
<td>Associate Professor</td>
</tr>
<tr>
<td>Marc Adams</td>
<td>Assistant Professor</td>
</tr>
<tr>
<td>Robin Bonifaz</td>
<td>Assistant Professor</td>
</tr>
<tr>
<td>Sara Brownell</td>
<td>Assistant Professor</td>
</tr>
<tr>
<td>Matthew Buman</td>
<td>Assistant Professor</td>
</tr>
<tr>
<td>Donna Cataldo*</td>
<td>Clinical Associate Professor</td>
</tr>
<tr>
<td>Paul Coleman</td>
<td>Research Professor</td>
</tr>
<tr>
<td>Aleksandra Elenes*</td>
<td>Associate Professor</td>
</tr>
<tr>
<td>Anthony Evans</td>
<td>Senior Research Fellow</td>
</tr>
<tr>
<td>Brenda Heston*</td>
<td>Clinical Associate Professor</td>
</tr>
<tr>
<td>Michelle Jordan</td>
<td>Associate Professor</td>
</tr>
<tr>
<td>Chris Kyzelka</td>
<td>Academic Professional</td>
</tr>
<tr>
<td>Arnie Maltz*</td>
<td>Associate Professor</td>
</tr>
<tr>
<td>Kevin McGraw</td>
<td>Professor</td>
</tr>
<tr>
<td>Tais Neal</td>
<td>Professor</td>
</tr>
<tr>
<td>Shirley Rose*</td>
<td>Professor</td>
</tr>
<tr>
<td>Tamara Rounds*</td>
<td>Clinical Associate Professor</td>
</tr>
<tr>
<td>Thomas Schildgen</td>
<td>Professor</td>
</tr>
<tr>
<td>Stefan Stanchev*</td>
<td>Associate Professor</td>
</tr>
<tr>
<td>Tom Sugar</td>
<td>Professor</td>
</tr>
</tbody>
</table>

ASU Administration:

<table>
<thead>
<tr>
<th>NAME</th>
<th>TITLE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Deb Clarke</td>
<td>Vice Provost of Academic Affairs</td>
</tr>
<tr>
<td>Michael Crow</td>
<td>President of the University</td>
</tr>
<tr>
<td>Stefanie Lindquist</td>
<td>Deputy Provost, Vice President for Academic Affairs</td>
</tr>
<tr>
<td>Mark Searle</td>
<td>Provost of the University</td>
</tr>
</tbody>
</table>

* University Academic Council Member
Overview: Organizational Design

The office of the University Senate is responsible for providing the administrative support that allows the University Senate organization to function efficiently and effectively year-over-year. It is through this administrative support and the dedicated service from each of its elected members that the University Senate is able to effectively participate in the advancement of the University.

Authority

The Arizona Revised Statute §15-1601 states, “the faculty members of each university, through their elected faculty representatives, shall participate in the governance of their respective universities and shall actively participate in the development of university policy.” Faculty governance responsibilities are also mandated by the Arizona Board of Regents in policy 6-201. At Arizona State University, the authority of the Senate can be found in ACD 112-01.

Function

The University Senate constitution, approved by the ASU president and the Arizona Board of Regents, states that the University Senate has the power to act for the Academic Assembly in areas such as:

- academic affairs
- personnel affairs
- faculty-student policies
- financial affairs
- university services and facilities
Processes: Formal and Consultative

Shared governance establishes the ethos and the structures that enable divergent ideas to be placed on the table, debated for their merits, shaped for the larger good of the university community, and put to use in a timely manner. Within the University Senate there are two primary processes utilized: formal and consultative.

**Formal**
The formal pathway for topics under consideration within the Senate typically results in the development of a Senate motion. This process is very important because it leverages the collective wisdom and insight of the Academic Assembly’s representative body. Examples of formal process topics:

- academic affairs and other policy manual changes
- recommendation to change university procedures or practices that would impact two or more colleges/schools
- resolutions that represent the faculty and academic professional perspective

**Consultative**
The consultative process can result in a formal Senate motion, but most often these requests are handled at the committee level. The University Senate office tracks all requests for consultation from the Senate. Examples of consultative topics are:

- policy interpretations
- faculty or academic professional appointments to search committees
- explore/research current event topics affecting higher education
- faculty or academic professional appointees on university level committees

---

**University Senate Formal Process**

**Faculty and Academic Professionals**

1. **Proposal or Motion**
2. **Office of the Senate President**
3. **University Academic Council**
4. **Executive Committee**
5. **Senate Committees**
6. **Senate Floor**
7. **Motion Passes**
8. **ASU/ABOR legal review, if required**
9. **President or Designee**
10. **Motion not approved**
11. **Motion is approved and implemented**

**Back to Originator**

Academic Professional Grievance Committee
Committee on Committees
Committee on Academic Freedom and Tenure
Committee on Academic Professional Status
Curriculum and Academic Programs Committee
Governance Grievance Committee
Library Liaison Committee
Personnel Committee
Student-Faculty Policy Committee
Research and Creative Activities Committee
University Services and Facilities Committee
Grievance Clearinghouse Committee
The University Senate committees handle requests for consultations from individuals and organizations across Arizona State University. As a service organization, the Senate relies heavily on each faculty member and academic professional that is elected to serve as a chairperson for each of these committees. As illustrated in the diagram below, these Senate leaders advise the University Senate and the university president in areas that are of strategic importance to both the efficient and effective functioning of the university.

Arizona State University Senate

University President

University Senate

University Academic Council

Standing Committees
- Curriculum and Academic Programs Committee
  Chair: Caroline Harrison
- Committee on Committees
  Chair: Chris Kyselka
- Executive Committee
  Chair: Kathleen Puckett
- Personnel Committee
  Chair: Michael Mokwa
- University Services and Facilities Committee
  Chair: Becky Ladd
- Student – Faculty Policy Committee
  Chair: Keith Hollinger
- Research and Creative Activities Committee
  Chair: Greg Stone

Senate University Committees
- Library Liaison Committee
  Chair: Devoney Looser

Grievance Committees
- Committee on Academic Freedom and Tenure
  Chair: Brooks Simpson
- Academic Professional Grievance Committee
- Grievance Clearinghouse
  Chair: Brooks Simpson
- Governance Grievance Committee
  Chair: Pam Swan

Other
- Office of University Senate
  Chuck Barbee
  Pamela Hoyle
- Ombudsperson
  Larry Olson
- Senate Secretary
  Chris Kyselka
- Faculty Athletics Representative
  Jeffrey Wilson
- Open Access Task Force
  Chair: Helene Ossipov
- Constitution and Bylaws Review Task Force
  Chair: Shirley Rose
- Review of University Committees Ad-Hoc
  Chair: Kathleen Puckett
- Parliamentarian
  Denise Bodman
Organizational Design and Representation

University Academic Council

The University Academic Council (UAC) serves as the executive board of the University Senate. The membership of the UAC consists of the president, president-elect and the immediate past president of the Downtown Phoenix, Polytechnic, Tempe and West campuses. Each member of the UAC is elected by their peers in the annual Academic Assembly elections. The University Academic Council has general supervision of the University Senate affairs in between each business meeting.

Kathleen Puckett
Associate Professor
University Senate President

Chris Kyselka
Secretary of the Academic Assembly and University Senate

The University Academic Council (UAC) serves as the executive board of the University Senate. The membership of the UAC consists of the president, president-elect and the immediate past president of the Downtown Phoenix, Polytechnic, Tempe and West campuses. Each member of the UAC is elected by their peers in the annual Academic Assembly elections. The University Academic Council has general supervision of the University Senate affairs in between each business meeting.

Downtown Phoenix campus

Donna Cataldo
Clinical Associate Professor
President

Tamara Rounds
Clinical Associate Professor
President-elect

Brenda Hosley
Clinical Associate Professor
Past President

Kristin Hoffner
Senior Lecturer
Past President

Polytechnic campus

Melanie Pitts
Senior Lecturer
President

Igor Shovkovy
Associate Professor
President-elect

Keith Hollinger
Lecturer
Past President

Tempe campus

Elsie Moore
Professor
President

Shirley Rose
Professor
President-elect

Arnold Maltz
Associate Professor
Past President

West campus

Alejandra Elenes
Associate Professor
President

Stefan Stantchev
Associate Professor
President-elect

Barbara Guzzetti
Professor
Past President

usenate.asu.edu
Constituency: The Academic Assembly

Definition:
The University Senate represents over 3,000 faculty members and academic professionals employed at Arizona State University. Individuals holding the following job classifications are what makes up the ASU Academic Assembly:

- all faculty members in a tenure-eligible or tenured position
- all academic professionals with full-time, multi-year, probationary, or continuing appointment positions
- the president and the provost of the university
- all full-time faculty members with fixed appointments (i.e., instructors, lecturers, senior lecturers, principal lecturers, clinical faculty members, research faculty members and professors of practice)
- members of the Emeritus College

Senate Representation
Senators are apportioned at the level of the degree granting unit. The number of senators provided to each unit is based upon the total number of Academic Assembly members within that unit.

- Less than 40 Academic Assembly members = 1 senator
- 40-99 Academic Assembly members = 2 senators
- 100 or more Academic Assembly members = 3 senators

Academic Assembly by Classification

- 38% (1,517) Non-Tenure Eligible
- 13% (505) Emeritus College
- 47% (1,851) Tenured/Tenure-Track
- 2% (99) Academic Professionals

2016-17 Academic Assembly Total: 3,972*

Senate Make-up

- 81 Tenure Track
- 33 Non-Tenure Eligible
- 5 Academic Professional
- Gender: 59 Female, 60 Male

**Non-degree granting units can apply for Senate representation through the University Academic Council.
Organizational Design and Representation

Downtown Phoenix campus

Polytechnic campus

Tempe campus

West campus
Get Involved

Interested in service with the University Senate?
Contact the Senate office or the University Senate Secretary, Chris Kyselka

Questions

For questions regarding this document, please call the University Senate Office at 480-965-2222